



FINANCIAL DISCLOSURE STATEMENT

This statement is to be filed in 2024

Financial information for calendar year 2023

Please type or print clearly. See instructions for assistance with this page. Electronic filing available at: disclosure.ethics.ohio.gov

SECTION A. PERSONAL CONTACT INFORMATION

Form fields for personal contact information: Last Name, First Name, MI, Address, City, State, Zip, County, E-mail Address, Phone.

SECTION B. STATUS (Check all that apply)

- Checkboxes for Candidate, Write-in Candidate, Elected office holder, Appointed (in 2024) to an unexpired term in elective office, Public Official, Public Employee, Voluntary Filer / Other.

CANDIDATES: Please list the date of the first election (primary, special, or general) when your name will appear on the ballot.

Table for candidate election date with columns for Month, Day, and Year.

FOR OFFICIAL USE ONLY

Empty box for official use only.

SECTION C. PUBLIC POSITION, OFFICE, OR JOB

Form fields for public position: Position/Title, Public Entity, Public Salary, Start Date, End Date.

SECTION D. ADDITIONAL PUBLIC POSITION, OFFICE, OR JOB

Form fields for additional public position: Position/Title, Public Entity, Public Salary, Start Date, End Date.

FOR OHIO ETHICS COMMISSION USE ONLY

Form for commission use only: checkboxes for Walk-in, Inter Office, No Check, Filer status, and dates for form completion.

**1. SOURCES OF INCOME - ALL FILERS MUST ANSWER THIS QUESTION:**

(For help, see instructions [page 4](#))

I have no sources of income that I am required to list.

| Source of Income | Service Provided | Amount*<br>(if required) |
|------------------|------------------|--------------------------|
| A                |                  |                          |
| B                |                  |                          |
| C                |                  |                          |
| D                |                  |                          |
| E                |                  |                          |

\* Check [instructions](#) to see whether you are required to disclose amounts of income.

**2. SOURCES OF GIFTS - ALL FILERS MUST ANSWER THIS QUESTION:**

(For help, see instructions [page 5](#))

I have no sources of gifts that I am required to list.

| Source of Gift | Source of Gift |
|----------------|----------------|
| A              | D              |
| B              | E              |
| C              | F              |

**3. NAMES OF SPOUSE RESIDING IN HOUSEHOLD AND ANY DEPENDENT CHILDREN - ALL FILERS MUST ANSWER THIS QUESTION:**

There are no immediate family members whose names I am required to list.

(For help, see instructions [page 5](#))

| Spouse Residing in Household | Dependent Children |
|------------------------------|--------------------|
|                              |                    |
|                              |                    |
|                              |                    |
|                              |                    |

**4. NAMES OF BUSINESSES - ALL FILERS MUST ANSWER THIS QUESTION:**

(For help, see instructions [page 5](#))

If you or anyone you listed in Question 3 owns or operates a business, list the name of the business.

There are no business names that I am required to list.

| Business Name | Business Name |
|---------------|---------------|
| A             | C             |
| B             | D             |

**5. LAND (REAL ESTATE) IN OHIO - ALL FILERS MUST ANSWER THIS QUESTION:**

(For help, see instructions [page 6](#))

I have no real estate that I am required to list.

| Land (Real Estate) in Ohio<br>(List address or, if address is unavailable, plat number and county) |
|--|
| A  |
| B  |
| C  |

You are not required to disclose your personal residence or real property held primarily for personal recreation.

**6. CREDITORS OVER \$1,000 - ALL FILERS MUST ANSWER THIS QUESTION:**

(For help, see instructions [page 6](#))

I have no creditors that I am required to list.

| Creditor | Creditor |
|----------|----------|
| A        | D        |
| B        | E        |
| C        | F        |

**7. DEBTORS OVER \$1,000 - ALL FILERS MUST ANSWER THIS QUESTION:**

(For help, see instructions [page 6](#))

I have no debtors that I am required to list.

| Debtor | Debtor |
|--------|--------|
| A      | C      |
| B      | D      |

**8. INVESTMENTS OVER \$1,000 - ALL FILERS MUST ANSWER THIS QUESTION:**

(For help, see instructions [page 6](#) and [7](#))

I have no investments that I am required to list.

| Corporation, Trust, Business Trust, Partnership, or Association      | Nature of Investment |
|--|----------------------|
| A  |                      |
| B  |                      |
| C  |                      |
| D  |                      |
| E  |                      |
| F  |                      |
| <b>IF YOU NEED ADDITIONAL SPACE, PLEASE ATTACH A SEPARATE SHEET.</b> |                      |

**9. OFFICES/FIDUCIARY RELATIONSHIPS - ALL FILERS MUST ANSWER THIS QUESTION:**

(For help, see instructions [page 8](#))

I have no offices or fiduciary relationships that I am required to list.

| Corporation, Trust, Business Trust, Partnership, or Association | Office or Nature of Relationship |
|---|----------------------------------|
| A   |                                  |
| B   |                                  |

**SKIP QUESTIONS 10 AND 11 IF YOU ARE ONLY REQUIRED TO FILE AS A:**

- College or university trustee
- Candidate for a city, school district, or ESC position that is paid less than \$16,000 a year
- City, school district, ESC, or sanitary district official or employee serving in a position that is paid less than \$16,000 a year
- JobsOhio board member or employee

**10. FOOD OR BEVERAGES - ALL FILERS EXCEPT THOSE LISTED IN THE BOX ABOVE MUST ANSWER THIS QUESTION:**

I have no sources of meals, food, or beverages that I am required to list.

(For help, see instructions [page 8](#))

| Source of Food or Beverages | Source of Food or Beverages |
|-----------------------------|-----------------------------|
| A                           | C                           |
| B                           | D                           |

**11. TRAVEL EXPENSES - ALL FILERS EXCEPT THOSE LISTED IN THE BOX ON PAGE 3 MUST ANSWER THIS QUESTION:**

I have no sources of travel expenses that I am required to list. (For help, see instructions [page 9](#))

| Source of Travel Expenses | Amount |
|---------------------------|--------|
| A                         |        |
| B                         |        |
| C                         |        |
| D                         |        |
| E                         |        |
| F                         |        |

**12. NON-DISPUTED INFORMATION - ALL state employees, state officials and state board and commission members (except college and university trustees) are REQUIRED to answer Question 12.** All other filers should skip this question and go to question 13.

I have no information that I am required to list. (For help, see instructions [page 9](#))

| Non-Disputed Information |
|--------------------------|
| A                        |
| B                        |

**13. SIGNATURE - ALL FILERS MUST SIGN THE STATEMENT:**

(For help, see instructions [page 10](#))

By signing this statement:

- I swear or affirm that this statement and any additional attachments have been prepared or carefully reviewed by me, and constitute my complete, truthful, and correct disclosure of all required information, and that the address listed on page 1 is a correct mailing address.
- I acknowledge and understand that, among other potential violations and penalties, knowingly filing a false statement is a criminal misdemeanor of the first degree, in violation of Sections 102.02(D) and 2921.13(A)(7) of the Revised Code, punishable by a fine of not more than \$1,000, imprisonment of not more than six months, or both.
- I acknowledge and understand that filing a false statement may be grounds for removal from public office or dismissal from public employment pursuant to Sections 3.04 and 124.34 of the Revised Code.
- I acknowledge that, in 2023, I served in, or in 2024, I am serving in or a candidate for, the position(s) indicated on page 1 of this statement.

***If you have any questions before signing this form, please contact the Ohio Ethics Commission at (614) 466-7090.***

Before signing this statement, please review to make sure that you have answered each question you are required to answer. If you have nothing to list in response to any question, check the box indicating that you have nothing to list. If the response to any required question is omitted, the Commission will return the statement to you as incomplete. **Any person who fails to file a complete statement by the appropriate filing deadline may be assessed a late filing fee and may be subject to criminal penalty.**

**Deliver completed statement to:** Ohio Ethics Commission, 30 W. Spring St., L3, Columbus, OH 43215

**My filing fee is:**

(For help, see instructions [page 2](#))

- Enclosed (check or money order payable to "Ohio Ethics Commission")
- Submitted Online
- My public agency is required or has agreed to pay my filing fee.

**YOUR SIGNATURE IS REQUIRED HERE:** \_\_\_\_\_

**Date:** \_\_\_\_\_



## BEFORE FILING YOUR STATEMENT



**Do NOT leave any questions for which you are required to answer blank.** If you have nothing that you are required to list for any required question, you must check the corresponding box indicating that you have no information that you are required to list.

**For example,** if you do not have any debtors that you are required to list, you should check the corresponding box indicating that you have no debtors that you are required to list, as seen below:

7. DEBTORS OVER \$1,000 - ALL FILERS MUST ANSWER THIS QUESTION:

(For help, see instructions [page 6](#))

I have no debtors that I am required to list.

| Debtor |  | Debtor |  |
|--------|--|--------|--|
| A      |  | C      |  |
| B      |  | D      |  |

Failure to provide a proper response to any required question will result in an incomplete filing. **Incomplete statements are not considered filed by law and may be subject to additional late fees and/or criminal penalty.**



## OHIO ETHICS COMMISSION FORM NO. OEC-2023 FINANCIAL DISCLOSURE STATEMENT INSTRUCTIONS

### **PURPOSE OF FINANCIAL DISCLOSURE AND REQUIREMENT FOR DISCLOSURE**

Filing a financial disclosure statement is part of the responsibility of choosing to hold or run for public office or employment. The purpose of requiring public officials and employees to file financial disclosure statements is to increase confidence in government and openness by: (1) Assisting public servants in identifying potential conflicts of interest; and (2) Allowing citizens to become aware of the financial interests of the officials and employees who serve them.

Filing an annual financial disclosure statement is required by law and Commission rule. [For more information on positions required to file by Commission rule, [click here](#).] Financial information must be completed for the entire preceding calendar year. A person who leaves a filing position must file for the last calendar year in which he or she held the position. No person is required to file more than one statement for any calendar year.

Electronic filing is available at [disclosure.ethics.ohio.gov](https://disclosure.ethics.ohio.gov)

### **WHO IS REQUIRED TO FILE A FINANCIAL DISCLOSURE STATEMENT?**

#### **Anyone who is elected, appointed to, or a candidate for the following elective offices:**

- State elective office
- County elective office
- City elective office
- State Board of Education
- School district board of education (in districts with a total student count of 12,000 or more)
- Educational service center (ESC) governing board in an ESC with a total student count of 12,000 or more

**Candidates** for office should note that this financial disclosure statement is NOT the same as a campaign finance report that is filed with the Secretary of State's Office or county board of elections. This statement must be filed regardless of whether the candidate raised or spent money for his or her campaign.

#### **Anyone who is appointed to the following non-elective public positions:**

- Member, state board or commission
- Appointed member, State Board of Education
- Trustee, state college or university
- Member, state retirement system board
- Appointed member, ESC governing board in an ESC with a total student count of 12,000 or more

#### **Anyone who is employed in these public positions:**

- State department director, assistant director, deputy director, or division chief
- Person in an equivalent rank to the above state department employees
- Chief executive officer of a state board, commission, or retirement system
- All state retirement system investment officers
- All professional employees of the Casino Control Commission
- All technical employees of the Casino Control Commission who perform an internal audit function
- Administrator, Director of Investments, and Chief Investment Officer, Bureau of Workers' Compensation
- State employees paid under Schedule "E-2" or "C"
- President, State college or university
- Superintendent, Treasurer, or Business Manager, school district or ESC

**Other Filing Categories:** Other filers include members and employees of JobsOhio, entrepreneurs in residence assigned by the LeanOhio office, members of some sanitary districts, and directors of community based correctional facilities.

For more information, please visit [ethics.ohio.gov](https://ethics.ohio.gov) or call (614) 466-7090.



# OHIO ETHICS COMMISSION FORM NO. OEC-2023 FINANCIAL DISCLOSURE STATEMENT INSTRUCTIONS

### **FILING DEADLINES:**

The disclosure statement must be received by the Commission, or have a U.S. postmark or date from a commercial delivery service, by the applicable deadline. The filing deadline for disclosure statements is **WEDNESDAY, MAY 15, 2024**, unless you are filing for any of the following reasons:

**Candidates:** The disclosure statement is due for most candidates thirty days before the first primary, special or general election when their names will be on the ballot. For write-in candidates, the statement is due twenty days before the first primary, general, or special election when their candidacy is to be voted on. For example:

|   |                              |
|---|------------------------------|
| Candidate in the March 19, 2024, primary election.....  | Tuesday, February 20, 2024   |
| Write-in candidate in the March 19, 2024, primary election .....  | Wednesday, February 28, 2024 |
| Candidate in the November 5, 2024, general election (who has not already filed as an incumbent or primary candidate) .....          | Monday, October 7, 2024      |
| Write-in candidate in the November 5, 2024, general election (who has not already filed as an incumbent or primary candidate) ..... | Wednesday, October 16, 2024  |

**Person Appointed to Unexpired Term in Elected Office:** Within 15 days after being sworn in to office.

**Person Appointed or Promoted to, or Employed in, a Non-Elective Filing Position after February 15, 2024:**  
Within 90 days of appointment, promotion, or employment.

### **FILING FEES:**

Disclosure statements must be accompanied by a filing fee based on the position for which the person is filing.

|   |          |
|---|----------|
| State elected office holder or candidate .....                              | \$ 95.00 |
| State Board of Education member or candidate .....                          | \$ 35.00 |
| County elected office holder or candidate .....                             | \$ 60.00 |
| City elected office holder or candidate .....                               | \$ 35.00 |
| School district board of education member or candidate .....                | \$ 30.00 |
| ESC governing board member .....  | \$ 30.00 |
| School district or ESC superintendent, treasurer, or business manager ..... | \$ 30.00 |
| All other filers .....  | \$ 60.00 |

Filing fees can be paid by check or money order made payable to the Ohio Ethics Commission. If filing electronically, filing fees can be paid by credit card or electronic check (ACH).

### **LATE FEES:**

Any person who files the disclosure statement after the appropriate deadline is required to pay a late fee of \$10 a day for each day the statement is late. The maximum late fee is \$250.

### **FAILURE TO FILE A DISCLOSURE STATEMENT OR FILING A FALSE DISCLOSURE STATEMENT:**

Any person who fails to file a disclosure statement or who files a false statement may be subject to prosecution. R.C. 102.02(C) makes it a fourth-degree misdemeanor to knowingly fail to file a disclosure statement that is required by law. R.C. 102.02(D) and 2921.13(A)(7) make it a first-degree misdemeanor to knowingly file a false disclosure statement.

### **PUBLIC RECORDS:**

Once filed, every disclosure statement is a public record. Most statements and their attachments are available for public inspection. For security purposes, filers should NOT list or attach any of the following to their disclosure statements: (a) social security numbers; (b) account numbers for bank, credit card, or investment accounts; or (c) IRS documents or filings.



## OHIO ETHICS COMMISSION FORM NO. OEC-2023 FINANCIAL DISCLOSURE STATEMENT INSTRUCTIONS

### STEP-BY-STEP INSTRUCTIONS

Electronic filing is available at [disclosure.ethics.ohio.gov](https://disclosure.ethics.ohio.gov)

#### **SECTION A—PERSONAL CONTACT INFORMATION:**

Fill in your name, preferred mailing address, county, phone number, and e-mail address. Your e-mail address will be used to provide you with updates and notices about financial disclosure filings.

#### **SECTION B—STATUS:**

Check all of the appropriate boxes indicating your status—the reason you are filing this statement. For example, if you are a county elected official who is running for a city elected office, you would check the box for “Candidate” and the box for “Elected office holder.”

Check “Public official” if you are filing a disclosure statement because you have been appointed to a public board or commission (such as a state board, university board of trustees, or board of a sanitary district).

Check “Public employee” if you are filing a disclosure statement because you are an employee of a state or local public agency (such as a state department director, retirement system investment officer, or school district superintendent).

If you are a candidate, please list the date of the first primary, special, or general election in 2024 when your name will appear on the ballot. If you are a write-in candidate, list the date of the first election at which voters can write in your name on the ballot.

**SECTION C—PUBLIC POSITION, OFFICE, OR JOB:** Information about the public position for which you are filing a disclosure statement.

List your public position or title, such as council member, sheriff, board member, or department director.

List the public entity that you serve in 2024, served in 2023, or will serve if elected. For example, if you are a city council member, list the name of the city. If you are a county sheriff, list the name of the county. If you are a board member, list the name of the board. If you are a department director, list the name of the department.

If you are a candidate seeking the position, check the “Seeking” box. If you are currently serving, check the “Hold” box.

If you served in 2023 or 2024, and are no longer serving, check the “Held” box.

Indicate whether the position you hold, held, or are seeking is uncompensated, or check the box next to the salary category paid for service in the position.

List the start date for the position you hold. If you are an elected official, list the start date for the current term. If you were appointed to an unexpired term in an elected office, your start date is the date you were sworn in to the office. List the end date for the position if there is an end date.

#### **SECTION D—ADDITIONAL PUBLIC POSITION, OFFICE, OR JOB:**

If you are required to file a disclosure statement for more than one public position, provide information for the other public position here. If you are not required to file a disclosure statement for more than one public position, skip Section D.





# OHIO ETHICS COMMISSION FORM NO. OEC-2023 FINANCIAL DISCLOSURE STATEMENT INSTRUCTIONS

## QUESTION 1—SOURCES OF INCOME:

[For more information, check out FAQs on Income](#)

“Income” includes:

1. “Gross income” as defined in the federal Internal Revenue Code (26 USC 61); and
2. Interest and dividends on all governmental securities, whether federal, state, or local.

Examples of “gross income” include compensation for services (such as salary), interest, rent, and pensions.

Most filers\* must list every source of income, regardless of amount, that: (a) they received in 2023; or (b) any other person received in 2023 for their use or benefit. Following each source of income, briefly describe the services you provided in return for the income.

Another person has received income for your “use or benefit” if the source’s purpose for giving compensation to that person is to provide it for your use or for your benefit. For example, if you are a beneficiary of a trust, the trust earns income for your use or benefit. You must list the trust as a source of income and all sources of income received by the trust. For more information about trust disclosure, see [Advisory Opinion No. 2005-01](#).

If you are paid for your public service, include the public agency as a source of income.

You are **not required** to disclose:

- A. Your spouse’s income sources (although you may benefit from your spouse’s income, he or she usually does not receive income for the purpose of providing it to you [see [Advisory Opinion No. 75-036](#)]); or
- B. The names of clients, patients, or customers of your business or practice (simply disclose the business or practice).

Amount of Income: You must also disclose the amount of income you received from any source, IF:

1. The source is doing or seeking to do business with the public agency you serve; or
2. You earned the income because you provided goods or services to a legislative agent (lobbyist).  
[See R.C. 101.70 or contact the Joint Legislative Ethics Committee for a list of registered lobbyists.]

## EXAMPLES:

| Source of Income                   | Service Provided            | Amount*<br>(if required) |
|------------------------------------|-----------------------------|--------------------------|
| <b>A</b> Your Employer(s)          | Your position(s)            |                          |
| <b>B</b> Smith & Jones Co., L.P.A. | Private law practice        |                          |
| <b>C</b> Your Pension Fund         | Retirement                  |                          |
| <b>D</b> XYZ Corporation           | Stock dividends             |                          |
| <b>E</b> Friendly National Bank    | Interest on savings account | \$45.00**                |

\*\* Because this bank is a depository for the filer’s public agency, the amount must also be disclosed.

\* **NOTE:** These filers disclose only sources of income over \$500 and are **not required** to disclose amounts of income:

- College or university trustees;
- Any official or employee of a city, school district, ESC, or sanitary district if his or her public position is paid less than \$16,000;
- Any candidate for an elective office of a city, school district, or ESC if the office is paid less than \$16,000; and
- JobsOhio board members and employees.

For more information, please visit [ethics.ohio.gov](http://ethics.ohio.gov) or call (614) 466-7090.



## OHIO ETHICS COMMISSION FORM NO. OEC-2023 FINANCIAL DISCLOSURE STATEMENT INSTRUCTIONS

### **QUESTION 2—SOURCES OF GIFTS:**

[For more information, check out FAQs on Gifts](#)

Most filers\* list every source of a single gift valued at over \$75 or multiple gifts with a total value of over \$75 that:

- A. You personally received in 2023; and
- B. Any other person received for your use or benefit in 2023.

Another person has received a gift for your “use or benefit” if the giver’s purpose for giving a gift to that person is to provide it for your use or for your benefit. For example, if your spouse has received a gift and the giver’s purpose is to provide the gift to you, your spouse received the gift for your use or benefit. See [Advisory Opinion No. 2009-01](#)

Sources of gifts can include individuals, corporations, or groups of individuals or corporations, such as co-workers, not-for-profit organizations, and trade associations.

You are **not required** to disclose:

- A. The nature of the gift;
- B. Campaign contributions;
- C. Gifts received by will or inheritance or by distribution from a trust established by a spouse or ancestor;
- D. Gifts received from any of these family members: spouse, parents, grandparents, children, grandchildren, siblings, nephews, nieces, uncles, aunts, brothers- or sisters-in-law, sons- or daughters-in-law, or parents-in-law; or
- E. Gifts from any person to whom you stand in the place of a parent.

\* **NOTE:** These filers disclose only sources of gifts valued at over \$500:

- College or university trustees;
- Any official or employee of a city, school district, ESC, or sanitary district if his or her public position is paid less than \$16,000;
- Any candidate for an elective office of a city, school district, or ESC if the office is paid less than \$16,000; and
- JobsOhio board members and employees.

### **QUESTION 3—IMMEDIATE FAMILY MEMBER:**

[For more information, check out FAQs on Family Members](#)

List the names of your spouse living in your household and any dependent children.

### **QUESTION 4—NAMES OF BUSINESSES:**

[For more information, check out FAQs on Business Names](#)

List all names under which you, or any of the immediate family members you listed in response to Question 3, do business. For example, list the name of any business that you or your immediate family members own or operate.

#### **EXAMPLES:**

- A. You are a partner in a law firm named Smith & Jones. You should list “Smith & Jones.”
- B. Your spouse who lives with you has an accounting firm called Ace Accounting. You should list “Ace Accounting.”
- C. Your dependent child mows lawns under a business called Carl’s Lawn Service. You should list “Carl’s Lawn Service.”



## OHIO ETHICS COMMISSION FORM NO. OEC-2023 FINANCIAL DISCLOSURE STATEMENT INSTRUCTIONS

### **QUESTION 5—LAND (REAL ESTATE):**

[For more information, check out FAQs on Real Estate](#)

List all of your leasehold and ownership interests in land and real estate located in Ohio.

**NOTE:** Because of an exception in the law, you are **not required** to disclose:

- A. Your personal residence; or
- B. Any property you use primarily for personal recreation.

### **QUESTION 6—CREDITORS:**

[For more information, check out FAQs on Creditors](#)

List the name of any person or business residing or transacting business in Ohio to whom you owe, or owed, in 2023, more than \$1000 in your own name or in the name of any other person.

For example, if you charged more than \$1000 on a credit card during 2023, you must disclose the name of the credit card issuer even if you paid off the card during the grace period.

**NOTE:** Because of an exception in the law, you are **not required** to disclose a creditor if the debt:

- A. Is secured on your personal residence (such as a mortgage or home equity loan);
- B. Is secured on real estate used primarily for personal recreation (such as a home equity loan); or
- C. Results from the ordinary conduct of your business or profession.

### **QUESTION 7—DEBTORS:**

[For more information, check out FAQs on Debtors](#)

List the name of anyone residing or transacting business in Ohio who owed you, or any other person for your use or benefit, more than \$1000 during 2023.

**NOTE:** Because of an exception in the law, you are **not required** to disclose as a debtor:

- A. A bank or other financial institution if the only money it owes to you is money you deposited with it;
- B. Any person who owes you money as a result of the ordinary conduct of your business or profession; or
- C. Clients or patients who owe you money if you are a lawyer, doctor, or psychologist.

### **QUESTION 8—INVESTMENTS:**

[For more information, check out FAQs on Investments](#)  
and [Advisory Opinion No. 2011-01](#)

List the name of each corporation that is incorporated in, or holds a certificate of compliance to do business in, Ohio, and every trust, business trust, partnership, or association that transacts business in Ohio, in which during 2023:

- A. You had an investment of over \$1000 at any time during the year; and
- B. Any other person had an investment of over \$1000 for your use or benefit at any time during the year. For example, investments made by the manager of a trust for which you are the trust beneficiary.

You should list any investment you held at any time in 2023, even if you sold or otherwise disposed of it during the year. Briefly describe the nature of each investment you disclose.



## OHIO ETHICS COMMISSION FORM NO. OEC-2023 FINANCIAL DISCLOSURE STATEMENT INSTRUCTIONS

**EXAMPLES:**

| Corporation, Trust, Business Trust,<br>Partnership, or Association | Nature of Investment                         |
|--|--|
| <b>A</b> ABC Growth Fund   | Mutual Fund                                  |
| <b>B</b> XYZ Corporation   | Common Stock                                 |
| <b>C</b> Mid-Ohio Power  | Corporate Bond                               |
| <b>D</b> Smith Family Trust  | Beneficiary                                  |
| <b>E</b> Planet Us Company   | Stock [Held by Smith Family Trust]*          |
| <b>F</b> Merrill Lynch   | Brokerage Account                            |
| <b>G</b> 123 Corporation   | Stock [Held in Merrill Lynch Account]*       |
| <b>H</b> Janus Large Cap Fund                                      | Mutual Fund [Held in Merrill Lynch Account]* |
| <b>I</b> LifePath 2030   | Deferred Compensation Investment             |
| <b>J</b> Popular Company   | Stock in IRA                                 |
| <b>K</b> MegaGrowth Fund   | Mutual Fund in 401(k) Account                |

\* Information in brackets is included for illustration purposes. You are not required to disclose these details on your statement.

### QUICK INVESTMENT DISCLOSURE GUIDE ([Advisory Opinion No. 2011-01](#))

| If I have more than \$1,000<br>invested in a(n).....                               | Do I need to disclose this<br>investment? | Do I need to list the individual<br>holdings within this investment? |
|--|---|--|
| <b>Mutual Fund</b>   | Yes                                       | No   |
| <b>Stock</b>   | Yes                                       | No   |
| <b>Bond</b>  | Yes                                       | No   |
| <b>Brokerage Account</b>   | Yes                                       | Yes  |
| <b>Managed Account</b>   | Yes                                       | Yes  |
| <b>Trust (Beneficiary)</b>   | Yes                                       | Yes  |
| <b>Investment Club Account</b>   | Yes                                       | Yes  |
| <b>529 Plan</b>  | Yes                                       | Yes  |
| <b>ESA</b>   | Yes                                       | Yes  |
| <b>Public Retirement System<br/>Account (PERS, STRS, SERS,<br/>HPRS, or OPFPF)</b> | No  | No   |
| <b>Social Security</b>   | No  | No   |

**NOTE:** You are **not required** to disclose:

- A. Saving and checking accounts, certificates of deposit, and other deposits with financial institutions; or
- B. Personal identifying information such as social security or investment account numbers.

For more information, please visit [ethics.ohio.gov](http://ethics.ohio.gov) or call (614) 466-7090.



# OHIO ETHICS COMMISSION FORM NO. OEC-2023 FINANCIAL DISCLOSURE STATEMENT INSTRUCTIONS

**QUESTION 9—OFFICES AND FIDUCIARY RELATIONSHIPS:** [For more information, check out FAQs on Fiduciary Interest](#)

List the name of each corporation that is incorporated in, or holds a certificate of compliance to do business in, Ohio, and every trust, business trust, partnership, or association incorporated or authorized to do business in Ohio, or transacting business in Ohio:

- A. In which you held an office in 2023; or
- B. With which you had a fiduciary relationship in 2023.

A person has a “**fiduciary relationship**” with an entity if he or she has the authority to make decisions in the entity’s interests.

**EXAMPLES:**

|   | Corporation, Trust, Business Trust, Partnership, or Association | Office or Nature of Relationship |
|---|---|----------------------------------|
| A | Buckeye Friends Trust   | Trustee                          |
| B | Smith & Jones Co., L.P.A.                                       | Partner                          |
| C | Let us Help Association (non-profit)                            | Member, Board of Directors       |
| D | Smith Cleaning Company  | President/CEO                    |

**SKIP QUESTIONS 10 and 11 if you are only required to file as a:**

- College or university trustee;
- City, school district, ESC, or sanitary district official or employee and serving in a position paid less than \$16,000 a year; or
- Candidate for a city, school district, or ESC position paid less than \$16,000 a year; or
- JobsOhio board member or employee.

**QUESTION 10—MEALS, FOOD, AND BEVERAGES:** [For more information, check out FAQs on Meals](#)

List **any source** of payment for meals, food, or beverages valued at over \$100 that was received in connection with your official duties by you or any other person for your use of benefit in 2023.

Include your public agency if it paid for more than \$100 of meals, food, or beverages for you.

**NOTE:** Because of an exception in the law, you are **not required** to disclose anyone who provided meals, food, or beverages to you:

- A. At a meeting where you participated in a panel, seminar, or speaking engagement; or
- B. At a meeting or convention of a national or state organization to which any state agency, legislative agency, state institution of higher education, political subdivision, or office or agency thereof, pays membership dues.



## OHIO ETHICS COMMISSION FORM NO. OEC-2023 FINANCIAL DISCLOSURE STATEMENT INSTRUCTIONS

### **QUESTION 11—TRAVEL EXPENSES:**

[For more information, check out FAQs on Travel Expenses](#)

List **both** the source and the amount of each individual payment of travel expenses, received in 2023, that was:

- A. Received by you in connection with your official duties; or
- B. Paid to any other person for your use or benefit in connection with your official duties.

Include your public agency if it paid for or reimbursed travel expenses for you.

You must list each payment or reimbursement separately. Travel expenses include parking fees, lodging, airline tickets, and mileage reimbursements.

**NOTE:** Because of an exception in the law, you are **not required** to disclose anyone who provided travel expenses to a meeting or convention of a national or state organization to which any state agency, legislative agency, state institution of higher education, political subdivision, or office or agency thereof, pays membership dues.

### **SKIP QUESTION 12 unless you are a:**

- State elected official; or
- State employee; or
- State board or commission member (except college or university trustee).

### **QUESTION 12—NON-DISPUTED INFORMATION:**

**ALL state employees, state officials, and state board and commission members (except college and university trustees) are REQUIRED to answer Question 12.**

If you received a statement from a legislative agent, executive agency or retirement system lobbyist or the employer of such that identifies you as the recipient of expenditures made by that lobbyist or employer, and you do not dispute the information contained in the statement, attach a copy of the statement or list the non-disputed information below. If you dispute a legislative lobbying expenditure made in your name please contact the Office of the Legislative Inspector General at **614-728-5100**. To dispute an executive or retirement system expenditure made in your name, please contact the Ohio Ethics Commission.



## OHIO ETHICS COMMISSION FORM NO. OEC-2023 FINANCIAL DISCLOSURE STATEMENT INSTRUCTIONS

### **QUESTION 13—SIGNATURE:**

Please note that by signing your financial disclosure statement:

- You swear or affirm that this statement and any additional attachments have been prepared or carefully reviewed by you, and constitute your complete, truthful, and correct disclosure of all required information, and that the address listed in the PERSONAL CONTACT INFORMATION on page 1 is your correct mailing address;
- You acknowledge and understand that, among other potential violations and penalties, knowingly filing a false statement is a criminal misdemeanor of the first degree, in violation of Sections 102.02(D) and 2921.13(A)(7) of the Ohio Revised Code punishable by a fine of not more than \$1,000, imprisonment of not more than six months, or both;
- You acknowledge and understand that filing a false statement may be grounds for removal from public office or dismissal from public employment, pursuant to Sections 3.04 and 124.34 of the Ohio Revised Code; and
- You acknowledge that you served in 2023, or are serving in or a candidate for in 2024, the position(s) indicated in the STATUS section on page 1 of the statement.

### **BEFORE SIGNING AND SENDING YOUR STATEMENT:**

Please carefully review your disclosure statement to make sure that you have answered ALL required questions, either by disclosing the information required or checking the appropriate box indicating that you have no information to disclose. Incomplete statements will be returned for completion and may be subject to additional late fees.

If you are required to pay your filing fee, check the box indicating that the fee is enclosed. Otherwise, check the box indicating that the agency you serve is required to pay your filing fee.

Unless your agency is required to pay your filing fee, please also enclose a check or money order payable to "Ohio Ethics Commission." State departments, boards, commissions, colleges, and universities are required to pay the disclosure filing fees for officials and employees who are required to file disclosure statements for service with those state agencies. (If you are unsure of whether your agency must pay your filing fee, please contact your agency or the Ethics Commission.)

Please do NOT staple your payment to the statement.

**Please mail the completed and signed statement, along with the filing fee, to:**

**OHIO ETHICS COMMISSION  
William Green Building  
30 West Spring Street, L3  
Columbus, Ohio 43215-2256**

*If you have any questions before signing this statement, please contact  
the Ohio Ethics Commission at (614) 466-7090 or  
visit the Commission's web site: [ethics.ohio.gov](http://ethics.ohio.gov)*



## OHIO ETHICS COMMISSION POST FILING NOTIFICATION & REMINDERS

### LEAVING YOUR POSITION OR OFFICE IN 2024?

If you have left, or will be leaving, your public position or office at any time during 2024, please read the following reminders to be sure you are aware of any further personal financial disclosure or any applicable post employment disclosure requirements you may have to meet as a result of your public service.

#### **Reminder to all filers who leave their positions or offices in 2024:**

The Ohio Ethics Commission would like to remind you that in addition to filing this calendar year 2023 statement, you will also be required to file a financial disclosure statement for calendar year 2024 by **Thursday, May 15, 2025** (the year after you have left service). This applies if you served for any length of time in 2024, even if it was for just a single day, or in the case of elected or appointed officials, even if your term expired on January 1, 2024.

#### **Reminder to any state elected officer or employee who leaves public service in 2024:**

##### **Ohio's Post Employment Disclosure Law**

In addition to filing a financial disclosure statement in 2025 for your final calendar year of public service, Ohio law also requires every state elected officer or employee who filed a financial disclosure statement to disclose their subsequent place of employment to the Joint Legislative Ethics Committee (JLEC) for 24 months after leaving public service. This disclosure is commonly referred to as post employment disclosure (PED) and is part of Ohio's PED Law which can be found in R.C. 102.021.

Only those financial disclosure statement filers that are leaving state service need to complete a PED. The Initial PED Statement is to be completed by departing employees and submitted to the JLEC by their public agency **not later than an employee's last day of employment**.

PED is separate and distinct from any financial disclosure filing requirement. Filing a PED Statement does not replace any duty to file a personal financial disclosure statement for the former employee's or official's final calendar year of public service.

**Please Note:** PED does NOT apply to county, city, or other local level financial disclosure filers.

For more information regarding PED, for any specific questions regarding PED, or to obtain a PED Statement to complete please visit the JLEC web site at <http://www.jlec-olig.state.oh.us> or call the JLEC at **(614) 728-5100**.